What Differences in Narrative and Informational Texts Mean for Learning and Instruction of Vocabulary

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We begin with four statements about influences on vocabulary instruction in schools. First, vocabulary is central to the comprehension of text (Davis, 1942; Thorndike, 1973). Second, the vocabularies of students when they enter school vary substantially (Hart & Risley, 1995). Third, the number of words in English is huge (Leech, Rayson, & Wilson, 2001). And, fourth, the amount of time in schools is limited (Fisher, Berliner, Filby, Marliave, Cahen, & Dishaw, 1980). All of these features combine to create a challenging situation for educators who aim to select vocabulary strategically in order to lessen the gap between the have-s and the have-nots (Nagy & Hiebert, 2010).

Unfortunately, it appears that the choices made in schools regarding vocabulary are often not strategic. In elementary schools, large blocks of time are devoted to reading/language arts instruction where, despite claims of increased access to informational texts, a narrative stance has continued to direct the selection of vocabulary and the form of vocabulary instruction (Norris, Phillips, Smith, Baker, & Weber, 2008). Whether the text is an informational or narrative one, teachers’ guides of core reading programs recommend instruction of a handful of words. Typically, these words are treated in a similar manner—each is defined, discussed, and read in the context of a sentence from the text. Usually, the words are unrelated to one another but have been selected because of their perceived importance to the story content.

Such a perspective fails to recognize the differences in the vocabularies of narrative and
informational texts. Typically, the registers of oral and written language are recognized as unique but these differences pale relative to differences in the features of narrative and informational genres. Through multidimensional analyses of spoken and written language samples, Biber (1988) concluded that particular types of speech and writing are more or less similar with respect to different dimensions. For example, a presentation or discussion at a meeting of a scientific society, while oral in nature, will vary considerably from a conversation between two friends over dinner. The vocabulary of a novel that includes substantial amounts of dialogue may have more in common with the dinner conversation than with a scientific report.

In this chapter, we examine the differences between the target vocabularies of an English/language arts (ELA) program that is dominated by narrative texts and a science program with informational texts. Our goal in this chapter is to accomplish three purposes: (a) review what is known about the differences in the vocabularies of unique words in informational and narrative texts, (b) examine these differences in an analysis of the words from an ELA and science program, and (c) present suggestions as to what differences in the vocabulary of different text types mean for instruction.

What is known about the differences in the vocabularies of narrative and informational texts?

To understand differences in vocabularies of different subject areas requires a foundation in the features of words in written English. Differences in words have been identified on numerous dimensions, including but not limited to their length, part of speech, and etymological origins. To describe the differences of the topic-specific words in different genres, we focus on four criteria: (a) frequency of the word and its morphological family, (b) familiarity, (c) conceptual complexity, and (d) relatedness within a thematic or semantic network of words.
Frequency of words and their morphological families

The approximately 750,000 words in the British National Corpus (Leech et al., 2001) can be sorted into three groups on the basis of frequency: (a) highly frequent, (b) moderately frequent, and (c) rare. The first group is made up of approximately 1,000 words that account typically for two-thirds of the total words in a text. The first row in Table 1 shows the high-frequency words within 50-word excerpts from two fourth-grade texts, one a narrative text (Gerson, 1994 in Afflerbach et al. (2007)) and the other an informational text (Cooney et al., 2006). Words such as object, energy, and matter in the first row of Table 1 show that all of the 1,000 most-frequent words are not simply glue words such as prepositions, pronouns, and question words. Some of the words in this group are there because they have multiple meanings. In science, words such as energy and matter take on quite precise meanings that differ from their common use. When only words from the 1,000 most-frequent group are available (as is the case in Row 1 of Table 1), the context for the precise meanings of polysemous words is not available.

A group of approximately 4,750 words appears with moderate frequency in written language—10 to 99 times per million words. Examples of words within this group are given in the second row of Table 1. While specific concepts are present (e.g., Africa, France, Mexico), the majority of words in this group represent common concepts (e.g., lakes, villages, desert). At times, words that represent common concepts (e.g., flow) can take on specific meanings, as is the case in the science text. With the addition of this group of moderately frequent words, readers can gain the gist of the text such as the daughter’s love of the light in the narrative example. Sufficient context is available to understand that a common word such as flow takes on a specific meaning in the science text.
The remaining words in written English—up to 745,000 words according to the British National Corpus (Leech et al., 2001)—appear less frequently, if not, rarely. As can be seen in the narrative excerpt in the third row of Table 1, some of these words are names of people. Others are representations of known concepts that authors use to give nuance to their writing—*shimmering, sparkling*. Still, others are concepts unique to domains such as *thermal*.

Approximately 15,000 of these words appear from 1 to 9 times per million words of running text. The remaining words of English—approximately 97% of the words in the language—can be expected to appear less than once per million words of text.

Many words in this group of approximately 725,000 rare words are archaic (e.g., *bap, snell*). The *Oxford Unabridged Dictionary* (Simpson & Weiner, 2009) identifies approximately 425,000 active words in English. When words are considered as morphological families, rather than as individual words, the volume of words is approximately five to six times smaller (Nagy & Anderson, 1984). Viewing the frequency of a word as a function of the size of its morphological family is justifiable in that nouns and their plurals as well as conjugations of verbs share a representation in the mental lexicon (Sereno & Jongman, 1997; Stanners, Neiser, Hernon, & Hall, 1979). While developing and struggling readers can be challenged by multisyllabic words (which most morphologically derived words are) (Nagy, Berninger, & Abbott, 2006), word meanings even more so than features, such as length and frequency, prove the greatest challenge to students’ comprehension (Nagy, Anderson, & Herman, 1987). A word such as *energy*—used in a science text—has a specialized meaning that is different than the meaning communicated when a person moans in mid-afternoon, “I don’t have any energy left to finish this work.”

*Conceptual complexity*
The essence of language is its meaningfulness and it is the word that represents unique entities. Particular words may appear infrequently in written language but they may be easy to understand for a number of reasons. For example, they may be highly concrete (e.g., skateboard, mirror) or can be easily understood from contextual use. A case of the latter is illustrated by the use of the word madragada in the following sentence from Gerson (1994): “In Brazil the early morning is called the madragada.”

Jenkins and Dixon (1983) identified four relationships between a learner and a new word: (a) unknown word but a known concept that can be expressed succinctly (altercation/argument); (b) unknown word with a simple synonym but student does not know the concept referred to by the synonym (arcane/obscure); (c) unknown word that does not have a simple synonym but can be described through experience (e.g., odometer/the item on the speedometer that tells how many miles you’ve gone); and (d) unknown word that does not have a simple synonym and for which students do not have extensive experiences to draw on (e.g., legislature). The density with which unknown words of the fourth type appear in texts is likely a strong influence on students’ comprehension (Sternberg & Powell, 1983). Students may be able to establish the meaning of a conceptually complex word with an unknown meaning in a paragraph. Their comprehension may be compromised, however, when the ratio of unknown to known words reaches a particular threshold. They may also be unable to deepen their knowledge of new words when texts are dense with unknown words.

A study conducted by Nagy et al. (1987) confirms the hypothesis that conceptual complexity of words influences students’ ability to understand unknown words while reading. Third, fifth, and seventh graders were given texts that had unknown words that varied in conceptual complexity. Nagy et al. found that conceptual difficulty (using a scheme similar to
that proposed by Jenkins and Dixon (1983)) was the only word feature from among several (including length, part of speech, and morphological complexity) that was significantly related to students’ ability to understand the word meaning in context. The text properties that most influenced students’ learning words from context were the proportion of unfamiliar words that are conceptually challenging and the average length of unfamiliar words (an indicator of morphological complexity).

*Semantic relatedness*

Words enter the lexicon as humans make distinctions about features of the world around them, both internal and external. Consider, for example, two words that have been officially recognized by lexicographers over the last year (Oxford Dictionaries, 2010): *neuroprotective* and *spyware*. Words such as these are not the product of random word generators but of human beings making unique distinctions of entities or experiences in their environments. Words are parts of a richly interconnected network (Entwisle, 1966; Levelt, Roelofs, & Meyer, 1999). Common relationships among words include semantic classes (e.g., eggs/food), collocation of words that commonly occur together (e.g., a dozen eggs), superordination (e.g., sedimentary/rock), and synonyms (glittering/sparkling). Within the mental lexicon, words are related in other ways as well such as part-whole (*branch/tree*), instrumental (*broom/floor*), and theme (*hospital/nurse*) (Moss, Ostrin, Tyler, & Marslen-Wilson, 1995).

Within a curriculum area such as science (Marzano, 2004), words are clustered within thematic groups. Marzano’s analysis of standards documents produced by five national organizations (e.g., National Science Teachers Association) through 2000 showed that science vocabulary was associated with particular topics such as weather in the K-2 grade span (e.g., *weather conditions, weather patterns, seasonal change, precipitation*). The vocabulary within the
nine standards documents (e.g., National Council of Teachers) produced through 2000 for English/Language Arts (ELA) had clusters related to a common topic (e.g., *vowels, consonants*). These topics, however, were ones used in instructional conversations and lessons given by teachers to describe features of language and texts. The vocabulary in the ELA standards were not words that typically appear in texts read by students. For example, *while vowels and consonants* might appear in an ELA workbook, it would be an unusual story that would contain these words.

Words that appear in the moderately frequent and rare words of the narrative text in Table 1 (e.g., *feathered, loved*) did not appear within the standard documents in the Marzano (2004) review as recommended concepts. The typical response to this observation is that the variety in the words used in stories is great, making systematic selection of vocabulary in ELA standards documents impossible. However, if literary words such as *costumes, shimmering, festivals*, and *feathered* are seen as members of larger semantic clusterings of ideas, a systematic and cohort approach to the selection of words may be possible, if not the identification of specific sets of words.

A proposal based on research on semantic connections suggests a way in which vocabulary might be taught. This proposal came from Marzano and Marzano (1988) who organized 7,300 words from word lists for elementary students into 61 superclusters of words (e.g., types of motion) that were further broken into 430 clusters where words had closer semantic ties (e.g., “taking/bringing” and “tossing” within the motion supercluster). The clusters were made up of 1,500 miniclusters such as the eight within the “taking/bringing” minicluster (take, return, get, send, remove, put, deliver, import). Such a system has support in the research literature where teaching groups of words that are semantically related such as *law/police,*
leaf/tree, and learn/school has proven to positively impact learning (Tinkham, 1997). Nagy and Hiebert (2010) have suggested that similar words might be taught gradually with a known member of a semantic set serving as an anchor, because teaching words that are too similar in meaning can interfere with student learning (Tinkham, 1993; Waring, 1997). In other words, all of the words in one of the Marzano and Marzano (1988) miniclusters would not be taught simultaneously but words in texts that share semantic clusters and miniclusters would be taught in relation to known words within the clusters and miniclusters. For example, shimmering and sparkling might be taught in relation to the likely known word shining. Nagy and Hiebert emphasize that the goal of a curriculum is to teach concepts, not just individual words. When shimmering, sparkling, and shining are viewed as part of a network of words having to do with light, their meanings can be related to additional words such as luminous and radiant.

**Distinctions in the vocabularies of narrative and informational texts**

The words from the exemplars in Table 1 provide a strong indication that the unique words of moderate and rare frequency within informational and narrative texts are different from one another in the concepts that they represent. These differences were observed in an essay by Armbruster and Nagy (1992) where they identified three important differences in the unknown words of narrative and informational texts: (a) knowing these words is likely more crucial to getting the gist of informational than in narrative texts; (b) these words are likely more conceptually challenging in informational than in narrative texts; and (c) the words in informational texts are likely more interrelated thematically than those in narratives. However, empirical verification of these differences has been limited.

While the nature of language has been identified as one of the distinguishing features of genres (Biber, 1988), descriptions of the features of vocabulary in narrative and informational
texts used in elementary schools have been limited. We have found a single study that has analyzed differences in the words in narrative and content area texts. This study—by Gardner (2004)—focused on the number of non-frequent words that were shared or unique to narrative or informational texts drawn from the same three themes (mummies, mystery, and westward movement). After Gardner had eliminated the words on the General Service List (GSL; West, 1953) or the University Word List (Coxhead, 2000), there were 23,857 unique words (from a total sample of approximately 1.4 million words). Of these 23,857 words, 42% appeared only in narrative texts and 30% appeared only in informational text. The remaining 6,566 unique words that were found in both narrative and informational texts were analyzed to determine how many appeared 10 times or more within both genres, a level that Gardner identified as a sufficient number of repetitions for meaningful acquisition. This group of shared unique words with 10 or more repetitions was 233. What is clear from this analysis is that the vocabularies that appear in these different genres have limited overlap, even when the texts have been chosen to represent the same topics. Gardner (2004) did not conduct additional analyses to determine what distinguished the three groups of unique words. Without greater understanding of the characteristics of the many words that are unique to one or the other genre, publishers and educators are left uncertain as to how words should be chosen differentially and what these features mean for instruction. To ameliorate this gap, we conducted an analysis of the features of words identified for instruction in ELA and science programs.

*What differences were apparent in an analysis of the vocabularies of narrative and informational texts?*

While scholars conclude that the vocabularies of narrative and informational texts have unique characteristics (e.g., Armbruster & Nagy, 1992), descriptions of these differences are
limited. Consequently, we conducted an analysis of the features of the vocabularies of these two types of texts for this chapter. We analyzed the features of all of the words that have been identified for instruction and assessment within an ELA and science program. We also analyzed the words from exemplar texts from each program.

An Analysis of the Word Features

Our analysis of the word features of narrative and informational texts focused on all of the words that were designated for instruction (and subsequently assessment) from the fourth-grade ELA (Afflerbach et al., 2007) and science (Cooney et al., 2006) programs of the same publisher (Scott Foresman) for the entire school year. The ELA program had 209 words, while the science program had 207 designated for instruction and assessment.

A prefatory comment is needed about the attribution of narrative to the vocabulary and texts of the ELA program. As has been documented recently (Norris et al., 2008), the genres evident in current core reading programs include informational text focusing on science and also social studies. While potential exists for developing the vocabulary of content areas with these texts, Norris et al. reported that most of the recommended instruction and assessment is appropriate primarily for literary texts. Our perusal of the vocabulary with the ELA program confirmed the findings of Norris et al. For example, in a text on the tracking of hurricanes, vocabulary that mirrored the vocabulary in narratives (e.g., expected, shatter, destruction) was highlighted rather than the scientific vocabulary in the selection (e.g., anemometer, meteorologists, tornados, satellite, storm surge). While a significant portion of the texts in the ELA program came from content-area sources, criteria for selecting vocabulary from these texts appeared to be the same ones as those used for narrative texts.
While the number of lexical items identified for instruction was similar across the ELA
and science programs (209 for the former; 207 for the latter), there was a notable difference in the
size of the vocabulary “item”: 22% of the science vocabulary consisted of complex phrases,
while none of the ELA took this form. These complex phrases in science were primarily two-
word phrases (e.g., chemical change) but some were three or more words (e.g., wheel and axle).
Exclusion of these items would have limited an understanding of the science vocabulary. At the
same time, including words such as change in the phrase chemical change or and in wheel and
axle might underestimate the difficulty of the vocabulary learning task in science. Consequently,
the decision was made to analyze the rarer of the words in a phrase (e.g., chemical rather than
change in chemical change and wheel, axle and not and in wheel and axle).

Seven features of the words (209 from the ELA program and 207 from the science
program) were established, five of which have been used in numerous studies of vocabulary: (a)
length of words (in letters); (b) predicted frequency per million words of text (Zeno, Ivens,
Millard, & Duvvuri, 1995); (c) morphological frequency: predicted frequency per million words
of text of the words transparently related to the focus word (e.g., revolve, revolving for revolution
but not revolt; Zeno et al., (1995)); (d) familiarity based on the Living Word Vocabulary (Dale &
O’Rourke, 1976) and its extension by Biemiller (2008); and (e) dispersion which indicates how
widely a word appears in different subject areas (Zeno et al., 1995). We use the remaining space
available in this chapter to describe the two features of focus—conceptual complexity and
relatedness. Readers interested in more extensive descriptions of these variables are encouraged to
examine the literature review provided by Scott, Lubliner, and Hiebert (2005).

With respect to conceptually complexity, Nagy et al. (1987) reported that a dichotomous
grouping of their categories (i.e., categories 1 through 3 versus category 4 (highly complex))
accounted for differences in readers’ knowledge of vocabulary, not all four categories. After numerous iterations of a coding system, we developed a three-point system that made use of digital technology. Words that were defined by one or two words that were among the 2,000 most frequent words on the GSL (West, 1953) were rated as “1” (the least complex). For example, *anticipation* was coded as “1” because it was defined as *hope*, which appears on the GSL. Words with definitions that were a single word that was not among the 2,000 most frequent words on the GSL were designated as category two (e.g., *quarantine* was defined as *isolation*). Where definitions consisted of phrases where all words were within the GSL, the word was also coded as “two” for conceptual complexity (e.g., “tool that measures wind speed” for *anemometer*). Definitions with phrases or clauses where at least one key word was not within the GSL were designated as the highest level of complexity. For example, *rotation* was defined as “the spinning of a planet, moon, or star around its axis.” Because both *planet* and *axis* are not within the GSL, *rotation* was rated as having the highest level of complexity.

The *relatedness* measure drew on Marzano and Marzano’s (1988) categorization of 7,300 words into 61 superclusters. After eliminating grammatical categories and consolidating several superclusters (e.g., facial expressions with communication), Hiebert (2010) has identified 13 megaclusters that pertain to “big” ideas about story elements (e.g., Communication, Emotions/Attitudes) and the content of informational text (e.g., Social systems, Human body). Whereas the original superclusters (Marzano & Marzano, 1988) were presented in order of size, Hiebert has suggested that the vocabulary megaclusters be considered in three large groups: (a) words that would be expected to be distinctive of narrative vocabulary (e.g., Emotions & Character Traits), (b) words shared by both types of texts (e.g., Comparatives & Causes) and (c) words that are most prominent in informational texts (e.g., Natural Environment).
Results. Means and standard deviations for the measures, except for relatedness, are presented in Table 2 and results of statistical comparisons of features across the two sets of vocabularies are included in Table 2. Differences were statistically significant for all of the measures except for the frequency of morphological families of words and the dispersion index (i.e., whether a word appears in a single or multiple content areas). The narrative vocabulary is more likely to be familiar to students but the words are predicted to appear less frequently than those in the science corpus. While less familiar but more frequent, the science words are significantly longer and have definitions that are more conceptually complex than the narrative set of words.

Semantic relatedness was considered by examining the number of megaclusters represented within the target words for a unit of text (i.e., a story in the ELA program and a chapter in the science program). A ratio was developed for the average number of target words per instructional unit (7 in the ELA program; 11 in the science program) and the number of megaclusters represented in that group for an individual instructional unit. The ratio for ELA vocabulary was 7:5 and for the science vocabulary, 11:4. A t-test indicated that the difference in the ratios was statistically significant ($t = 8.2, p = .000$). Most target words in an ELA unit did not come from closely related semantic clusters, while the vocabulary for an instructional science unit had at least several words with close semantic connections.

We were also interested in whether particular megaclusters were associated with particular text types. The percentages of the two vocabularies falling into the megaclusters are presented in Table 3. As has been predicted (Hiebert, 2010), particular megaclusters such as Emotions & Attitudes and Character Traits were heavily represented in the ELA vocabulary but not in the science vocabulary. Both vocabularies had a substantial number of words within the Natural
Environment but this megacluster accounted for almost half of the words in the science vocabulary, while accounting for about 20% of the words in the ELA vocabulary.

An Analysis of the Features of Exemplar Texts

Characteristics of words identified within published programs for instruction and, subsequently, assessment are important. An understanding of how these words represent all of the words in a text is also critical in understanding the demands of vocabulary in different types of texts. To capture the nature of vocabulary in entire texts of the two text types, an exemplar was chosen from each program. The exemplars were the texts from which the two excerpts in Table 1 were taken. Both ELA and science texts came from the same place in its respective program—the third text of the third unit. For the ELA program, the text was *How night came from the sea: A story from Brazil* (Gerson, 1994 in Afflerbach et al. (2007)) and for the science text, the selection was “Why does matter have energy?” (Cooney et al., 2006). The former consisted of 1,250 words and the latter of 1,350 words.

Three features of the vocabulary within these two texts were of interest: (a) the ratio of different or unique words in relation to total words, (b) the distribution of the unique and total words across different frequency groups, and (c) the number of repetitions of the targeted or assessed vocabulary within the texts. For the second feature, words were clustered into three groups based on the predictions of Zeno et al. (1995) for appearances of words per million words of text: (a) highly frequent words (appearances of 100 or more per million words), (b) moderately frequent words (appearances of 10-99 per million words), and (c) rare words (appearances of 9 or less per million words).

Results. Data summarized in Table 4 indicate that the ratio of unique to total words for the ELA and science exemplars was .33 and .26, respectively. The ELA text had substantially
more unique words than the science text. The information in Table 4 also shows that twice as many of the unique words within the ELA text fell into the rare category than was the case with the science vocabulary. To be proficient at reading, the ELA text requires that readers have a considerably greater capacity in recognizing unique words and in either already knowing the meaning of these words or being able to extract their meaning from the context of the text.

The number of appearances of words according to word zones is evident in Table 4. The patterns for words appearing with rare and moderate frequency differ substantially in the narrative and informational texts. Few of the rare words appeared more than once in the narrative text, while rare words in the informational texts appeared an average of five times. The pattern was the same for the words of moderate frequency, with substantially more appearances of these words in the informational than in the narrative texts. Within the informational text, students have the opportunity to become facile with the same word as it appears repeatedly in the text. The narrative text, on the other hand, requires that students have facility in understanding many unique words that happen a single time in the text and that they are unlikely to have encountered in previous texts.

**What might these differences in the vocabularies of narrative and informational texts mean for instruction?**

The patterns from our study showed both quantitative and qualitative differences in the words identified for instruction with ELA and science texts. First, the exemplar ELA text had more unique words and more of these unique words were rare than the science text. The words called out for instruction accounted for 1% of the unique words in the ELA text. Another 14% of the unique words fell into the rare category of words that are unlikely to be encountered frequently in written language. By contrast, 3% of the words in the science text fell into this
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category. With few exceptions, these words were the focus of instruction. Even within a text-based vocabulary effort that the ELA program represents, instruction focuses on only a very small percentage of the words that are likely challenging for many students, especially the two-thirds of an American fourth-grade cohort that fails to be reading at a proficient level (Daane, Campbell, Grigg, Goodman, & Oranje, 2005). Especially in schools where the majority of students fall into this latter group, teachers will need to do a substantial amount of scaffolding for students to recognize vocabulary and to have sufficient facility with a critical portion of the vocabulary to comprehend narrative texts with any depth.

A second way in which the two exemplar texts differed was in the repetition of the targeted vocabulary. As well as scaffolding students’ recognition of the many words that fall outside the instructional focus, teachers will need to do considerable scaffolding of the words chosen for instruction in the ELA text, because almost all of the instructional words appeared a single time. Research is limited on the number of encounters that are required for a word to be known with any level of facility and precision (Swanborn & De Glopper, 1999). A single encounter with a word may be sufficient for learning to pronounce a word (Share, 1995). It is unlikely that a single encounter in a text will result in substantial learning. The general range of encounters appears to be, at least for unknown words, around 8-10 encounters (McKeown, Beck, Omanson, & Pople, 1985). For the words in the ELA texts, teachers will need to create the meaningful and repeated experiences with the words called out for instruction. This analysis did not address the quality of the recommended experiences in the teachers’ guide for this vocabulary and the likelihood that students will retain more than a passing recognition with the words. Opportunities for a rich textual context to support students’ understanding of the words are limited in these texts.
A third difference of the vocabularies of the two programs offers a potential solution for what appears to be an insurmountable instructional challenge for teachers in ELA programs: The vast majority of the words called out for instruction in the ELA program (58%) were of the simplest conceptual complexity. Only 3% of the ELA vocabulary was of the highest level of conceptual complexity and these words came from the limited number of informational texts that were part of the program. All but a handful of the words in the ELA program can be explained easily relative to students’ existing concepts.

When combined with a fourth difference between the two vocabularies, a direction for instruction of the vocabularies of ELA texts that are primarily narrative in character becomes even clearer. The unique vocabularies in the two text types come from different vocabulary megaclusters. For the ELA texts, half of the words came from five clusters that have to do with characters—their names, traits, ways of communicating, actions and motions, and emotions and attitudes. While the relatedness of words within an individual ELA story was limited, the connectedness across stories was substantial. This connectedness reflects the nature of narratives, not any concerted effort on the part of the publisher. The publisher does not give a rationale for the selection of particular words for particular stories but we suspect that particular megaclusters would have been even more heavily populated, had all of the unique, rare words for the stories within the ELA program rather than the target vocabulary been analyzed.

As Biber (1988) and other linguists have pointed out, authors of narrative and informational texts have different goals and, as a result, use words in very different ways. To underscore a theme in a story, Gerson (1994) in, *How night came from the sea*, does not repeat any single word describing brightness but she does repeat the concept of brightness with numerous different words (e.g., *shimmering, gleamed, brightness, brilliant, glittering*). By
contrast, the authors of the science text (Cooney et al., 2006) repeat words such as *heat* and *radiation* numerous times. Cooney et al. are intent on developing a precise meaning of radiation and heat, while Gerson wants the reader to get a sense of the dilemma of the goddess’s daughter who longs for respite from the relentless Sun. The characteristics of characters and contexts are repeated in the same narrative but with different words. Even more critically, the same underlying concepts of traits, communication, features of contexts, and the nature of problems can be expected to appear *across* narratives.

The situation is quite a different one in a science text. A text on the lifecycle of amphibians will contain very different words and descriptions than a text on the ways in which thermal energy is created. Authors of these texts will use different words as well as different text structures to communicate these constructs. But, within a particular topic such as thermal energy, the same words are likely to appear again and again.

These different purposes and their resulting different vocabularies mean significantly different programs for instructional concepts and vocabulary in ELA or science. While it would take a book-length manuscript to flesh out all of the details and uniquenesses of the vocabulary programs called for with different subject areas, we outline the main elements of these two types of vocabulary instruction.

*Implications for the instruction of the vocabulary of science texts*

We begin with two caveats about the vocabulary of science texts. First, while we explore what the differences in word features mean for instruction related to the texts that students read, we want to emphasize that we are not viewing the words of science texts as simply learned through vocabulary lessons. To understand radiant heat or convection requires numerous activities in addition to reading. In the Seeds of Science/Roots of Reading project where we have
worked to integrate literacy and science content and instruction (Cervetti, Jaynes, & Hiebert, 2009), a four-part mantra guides the lessons: Do it, read it, write it, talk it. Words such as *convection*, *conduction*, and *insulators* are used dozens of times in discussions, demonstrations, and writing activities. At least preliminary evidence suggests that such multimodal experiences appear to support the learning of conceptually complex words in science (Cervetti, Barber, Dorph, Pearson, & Goldschmidt, 2009).

A second caveat is that, because our analysis considered science texts only, conclusions cannot be generalized to other content areas such as social studies. A perusal of Marzano’s (2004) summary of the vocabulary found in national and state standards suggests that two features that were associated with science vocabulary may be even more pronounced in social studies than they were in the present analysis: complex phrases and polysemous words. Some of the observations that follow about these two features are likely also to be applicable to social studies vocabulary but we caution that this is an hypothesis only.

With respect to the complex phrase in science, 22% of the words in the sample were accompanied by one or more words (*solar cell, solar energy, solar system*). Even when words function as a single idea, it is rare that these words are presented as compound words or even hyphenated to alert the reader to their concatenation. The complex phrase has a unique meaning that cannot necessarily be determined by understanding common meanings of each word individually. The presence of numerous complex phrases adds a challenge to students in reading science that needs to be addressed through instruction. This instruction is unlikely to be occur if vocabulary is primarily emphasized in narrative. Only one of the words in the ELA vocabulary sample was a phrase (*boarding school*).
A second feature of the science vocabulary that has consequences for instruction was the higher average frequency rating of these words than for those in the ELA sample. The unique words in informational texts are often more frequent because they have multiple meanings—meanings that can be challenging to teach and to learn. Many of the fundamental ideas within the science vocabulary—*work, speed, energy, force*—have common meanings. The word *work* has 53 common meanings according to dictionary.com. In the science program, one meaning only—and in this case a very precise one—is developed which is work as “using force in order to move an object a certain distance” (Cooney et al., 2006, p. EM9). For both students and teachers, the ordinary, everyday meanings of such a word may mean that knowledge of the word is assumed. It is also the case that the everyday meanings of words that have popular meanings in non-science contexts can interfere with students’ understanding of the scientific meaning (Cervetti, Hiebert, & Pearson, 2010).

Critical distinctions in the meanings of scientific vocabulary will only be made through multiple forms of inquiry and discussion. Further, because the majority of science words represented conceptually complex ideas—even with ordinary labels such as *work, force, energy, speed, tissue, matter*—meanings need to be taught in relation to one another. A thematic map with the interrelationships of vocabulary is provided in Figure 1 to illustrate the connections among the complex ideas in the exemplar science text. The meaning of one conceptually complex word typically relies on an accurate (and precise) meaning of another conceptually complex word. These understandings are built through demonstrations, illustrations, DVDs, discussions, experiments, writing, and discussion. Everything in science cannot be experienced firsthand but there are numerous ways in which background knowledge can be built through second-hand observation and inquiry.
The network of complex concepts also depends on experiences over time. The concepts in this unit (matter and thermal energy) were part of units in the primary grades. These concepts will be revisited in subsequent grades in even greater depth. If science is given short shrift in the primary grades, students will not have the foundation for the elaborations of existing concepts and new concepts that will be added to the thematic networks in higher grades. They will not have the capacity to read the increasingly more complex texts that is the goal of the Common Core State Standards (CCSS; Common Core State Standards Initiative, 2010).

Implications for the instruction of words in narrative texts

While the vocabulary of science is conceptually complex and requires intensive experiences over time, the vocabulary of the ELA program is dense with rare words. These rare words are typically not members of heavily populated morphological networks as is the case with the rare words in science (e.g., shimmering in the former; nonrenewable in the latter). They do not have the thematic connections within or across stories that characterizes the words of the science curriculum. Where the core ELA program is Houghton Mifflin’s Reading (Cooper et al., 2004), vocabulary instruction for fourth graders focuses on homage, commotion, hosted, severed, and fluffed for a week, while students in states or districts that have selected Scott Foresman’s Reading Street (Afflerbach et al., 2007) are learning chorus, coward, gleamed, shimmering, and brilliant. From one program to another, there is little overlap (except in the few cases where the same story appears and even then target words can vary considerably). There is no rhyme or reason to the vocabulary instruction within the ELA programs in which the lion’s share of class time is spent in American classrooms.

Nagy and Hiebert (2010) have identified criteria for the selection of vocabulary within ELA programs. They underscore that, to close the vocabulary gap, the focus of instruction with
narrative texts should be on the unfamiliarity of words. This may sound like a strange criterion but research over an extended period of time suggests that students already know many of the words identified for instruction within basal reading programs. Almost 50 years ago, Gates (1962) demonstrated that the majority of words chosen for instruction in basal reading programs were already known sufficiently for students to comprehend the texts. Thirty years ago, Stallman, Commeyras, Kerr, Reimer, Jiménez, Hartman, & Pearson (1990) confirmed the same pattern. While we did not test students’ understanding of the core vocabulary from a current core reading program (Afflerbach et al., 2007), 37% of the target vocabulary was rated as familiar for fourth-graders (Biemiller, 2008; Dale & O’Rourke, 1976) and 60% of the words were ones that could be defined with a single word within the 2,000 most-frequent words in written English (West, 1953).

A second criterion suggested by Nagy and Hiebert (2010) is that instruction of literary vocabulary emphasizes a metalinguistic perspective where groups of words and underlying linguistic features are the focus, rather than a word-by-word perspective. The exemplar text, How night came from the sea (Gerson, 1994), is typical of narrative texts in that it has numerous words that belong to rich semantic clusters. Nuanced words are used to convey how characters communicate, how they feel, and how they resolve their dilemmas and problems. Most fourth graders, even those who struggle as readers, have an understanding of basic concepts such as cowardice, yearning, fascination, and destruction. They may not use these words or the thousands of other nuanced ways in which human communication and experience can be described. All of these words cannot be taught but readers can be taught to be aware that writers use multiple ways to label basic concepts about communications, feelings, traits, and settings. To expand vocabularies, students require the fundamental ideas of what stories are about and how writers of stories use rich vocabulary to communicate the human experiences. Instructional scaffolds such
as story structure and the cluster approach that have fallen by the wayside over the past two
decades, we propose, are resources for both teachers and learners in developing richer
vocabularies and more efficacious vocabulary instruction. In Figure 2, we have mapped out the
numerous unique words in the exemplar text. Most words appeared a single time in the text and
communicate nuances that readers require to grasp the style and gist of the text. When the words
are viewed in relation to underlying concepts that cut across stories, however, numerous words
can be addressed. Such an approach offers to expand students’ vocabularies substantially more
than the identification of seven or eight of the many unique words in the texts, most of which
come from discrete vocabulary clusters.

In this chapter, we have illustrated that there are substantially different kinds of
vocabularies offered in ELA and science programs. These differences in vocabularies lend
themselves to significantly unique instructional approaches. In science, most words are
conceptually complex and represent new concepts for many students. These concepts are not
learned by rote but evolve from extensive discussion, demonstrations, and experiments. The
words that are unique to narrative texts are often many in number but represent concepts with
which most students are familiar. Students may never have encountered the particular words that
an author uses to convey a particular trait or motive of a character. It is likely, however, that even
younger elementary students have underlying knowledge about the traits, motives, ways of
moving, and emotions of characters. To become adept with narrative texts requires that students
understand the ways in which authors vary their language to ensure that readers grasp the critical
features of the story. If the vocabulary gap is to be narrowed for the students whose academic
learning experiences occur primarily in schools, educators will need to develop unique selection
criteria and instructional strategies for the vocabularies of both narrative and informational texts.
References


399-412.


Table 1.

*Distributions of Words by Frequency in Exemplar Narrative and Informational Texts*

<table>
<thead>
<tr>
<th></th>
<th>Narrative Text</th>
<th>Informational Text</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>High</strong></td>
<td>Iemanja’s daughter loved her husband, and she loved the magic of daylight that he showed her; the shimmering sand of the beach, the rows and rows of cocoa and sugarcane baking in sunlight, and the sparkling jewels and feathered costumes worn in harvest festivals.</td>
<td>Particles in an object move because they have energy. As an object becomes hotter, its particles move faster. As the object cools, the particles move more slowly. Thermal energy is energy due to moving particles that make up matter. We feel the flow of thermal energy as heat.</td>
</tr>
<tr>
<td><strong>Moderate</strong></td>
<td>daughter loved her husband, loved magic daylight beach, rows rows sunlight, feathered costumes worn in harvest festivals.</td>
<td>Particles faster. Particles particles</td>
</tr>
<tr>
<td><strong>Rare</strong></td>
<td>Iemanja’s shimmering cocoa sugarcane baking sparkling jewels costumes festivals.</td>
<td>hotter, cools, Thermal thermal</td>
</tr>
</tbody>
</table>
Table 2.

Means (and standard deviations) for Features of Words in Narrative and Informational Texts

<table>
<thead>
<tr>
<th>Feature</th>
<th>Narrative</th>
<th>Informational</th>
<th>F (significance level)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Familiarity (LWV Grade)</td>
<td>6 (2.5)</td>
<td>7.5 (3.4)</td>
<td>42.752 (.000)</td>
</tr>
<tr>
<td>Frequency (U function)</td>
<td>13.7 (52.4)</td>
<td>39.1 (118.1)</td>
<td>28.039 (.000)</td>
</tr>
<tr>
<td>Frequency of Morphological Family</td>
<td>26.7 (116.4)</td>
<td>31 (78.4)</td>
<td>.275 (.600)</td>
</tr>
<tr>
<td>Dispersion Index</td>
<td>.60</td>
<td>.61</td>
<td>3.289 (.070)</td>
</tr>
<tr>
<td>Length</td>
<td>7.3</td>
<td>7.8</td>
<td>28.677 (.000)</td>
</tr>
<tr>
<td>Conceptual Complexity</td>
<td>1.4</td>
<td>2.3</td>
<td>275.941 (.000)</td>
</tr>
</tbody>
</table>
Table 3.

_Distribution of Megaclusters in Vocabularies of Two Types of Texts_

<table>
<thead>
<tr>
<th>Dominant/Shared</th>
<th>Megacluster</th>
<th>Narrative Text</th>
<th>Informational Text</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Narrative Dominant</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Emotions &amp; Attitudes</td>
<td>.09</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>Traits (Characters)</td>
<td>.09</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>Social Relationships</td>
<td>.02</td>
<td>.01</td>
<td></td>
</tr>
<tr>
<td><strong>Narrative/Content Shared</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Action &amp; Motion</td>
<td>.12</td>
<td>.06</td>
<td></td>
</tr>
<tr>
<td>Communication</td>
<td>.10</td>
<td>.09</td>
<td></td>
</tr>
<tr>
<td>Characters</td>
<td>.10</td>
<td>.07</td>
<td></td>
</tr>
<tr>
<td>Places Events</td>
<td>.06</td>
<td>.01</td>
<td></td>
</tr>
<tr>
<td>Social Systems</td>
<td>.06</td>
<td>.01</td>
<td></td>
</tr>
<tr>
<td>Physical Attributes</td>
<td>.05</td>
<td>.08</td>
<td></td>
</tr>
<tr>
<td>(Objects, events, time)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Comparatives and Causes</td>
<td>.03</td>
<td>.05</td>
<td></td>
</tr>
<tr>
<td><strong>Content Dominant</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Natural Environment</td>
<td>.19</td>
<td>.48</td>
<td></td>
</tr>
<tr>
<td>Machines</td>
<td>.08</td>
<td>.07</td>
<td></td>
</tr>
<tr>
<td>Human Body</td>
<td>.03</td>
<td>.07</td>
<td></td>
</tr>
</tbody>
</table>
Table 4.

Distribution of WordZones: Narrative and Informational Exemplars

<table>
<thead>
<tr>
<th>WordZones</th>
<th>Narrative Text</th>
<th>Informational Text</th>
</tr>
</thead>
</table>
|           | Total words  
            \( (n=1,250) \) | Unique words  
            \( (n=410) \) | Average # appearances | Total words  
            \( (n=1350) \) | Unique words  
            \( (n=328) \) | Average # appearances |
| Rare (WZ  
5, 6) | .06 | .15 | 1.2 | .04 | .03 | 5.4 |
| Moderate (WZ3,4) | .14 | .24 | 1.8 | .27 | .16 | 6.6 |
| High (WZ0-2) | .79 | .61 | 4.0 | .69 | .81 | 3.5 |
Figure Captions

*Figure 1.* Thematic clustering of unique, rare words within a science prototypical text

*Figure 2.* Semantic clustering of unique, rare words within an ELA prototypical text
The Vocabularies of Narrative and Informational Texts

- **THERMAL ENERGY**
  - Ways of measuring temperature: Celsius, Fahrenheit
  - Processes of heat transfer:
    - **conduction***: conductors, insulators
    - **radiation***: radiant energy
    - **convection***: convection current
  - Additional "Hard" Words:
    - Examples: greenhouse, lizard, mobile phones, photo
    - Motions/actions: spiral, twirl, condenses
How the Night Came to the Sea

Motion
- swirling
- drooping
- squeezed
- tremble
- calmed
- hushed
- soothed
- leapt
- slept
- surging
- swirling
- wading
- awoke

Ways of being
- faithful
- coward
- terrified
- rested
- suffering
- longing
- reigns
- dwell/dwelt
- celebrate
- baking
- kinship

Communication (sounds)
- cried
- listened
- begged
- commanded
- hum
- chorus
- decided
- warn
- announce
- screeching
- stared
- greet
- praying

PEOPLE/ ANIMALS/ OBJECTS/ EVENTS
- alligators
- beasts
- bullfrog
- rooster
- goddess
- watchman
- Iemanja
  - (African name)
- cocoa
- coconuts
- sugarcane
- jewels
- perfume
- petals
- razor
- reefs
- festivals

Forms of light & the way light shines
- starlight
- moonlight
- moonbeams
- sunrise
- dusk
- sunset
- sunlight
- madragada
  - (early morning: Portuguese)
- flickered
- gleamed
- shimmering
- glittering
- gleamed
- sparkling
- brilliant
- brightness

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